Strategic Programme 2016-17 January 2016

	AUDIT	2016/17 potential days	2016/17 programme (initial)	IA Risk assessment 2016/17	corp. plan 2016-21	corp. risks qtr2 2015/16	KPIs qtr2 2015/16	comment	last audited	2015/16 opinion	2014/15 opinion	previous opinion	*IA Risk assessment 2015/16*
K	Asset Management	10	y	3	**16-CP 01 a,b,c&d**	**15-CR 02 4**			2013/14			substantial	*1*
K	Budgets	1	p	1	**16-CP 01 a,b,c&d**	**15-CR 02 4**			**2015/16**	**substantial**		substantial	*2*
K	Cash & Bank	5	y	4	**16-CP 01 a,b,c&d**	**15-CR 02 4**		**follow up**	**2015/16**	**limited**	substantial	adequate	*3*
K	Contracts & Procurement (incl Housing)	1	p	1	**16-CP 01 c**	**15-CR 02 4**		**dependent on 2015/16 opinion**	**2015/16**	**tbc**		substantial	*4*
K	Council Tax	1	p	1	**16-CP 01 a,b,c&d**	**15-CR 02 4**	**KPI05 G**	**2015/16 - reduced scope**	**2015/16**	**substantial**	substantial	substantial	*3*
K	Creditors	5	y	3	**16-CP 01 a,b,c&d**	**15-CR 02 4**	**KPI01 G**	**dependent on 2015/16 opinion**	**2015/16**	**tbc**	adequate	substantial	*3*
K	Fraud (non-corporate)	10	y	3	**16-CP 02 f**	**15-CR 02 4**		**b/f from 2015/16 (new audit area)**					*3*
K	Housing Benefits and LCTS	1	p	3	**16-CP 01 a,b,c&d; 16-CP 02 d**	**15-CR 02 4**	**KPI04 G;KPI 06a A; KPI 06b R**	**dependent on 2015/16 opinion**	**2015/16**	**tbc**	substantial	substantial	*3*
K	Housing Rents	1	p	3	**16-CP 01 a,b,c&d; 16-CP 02 d**	**15-CR 02 4**	**KPI16 G**	**dependent on 2015/16 opinion**	**2015/16**	**tbc**	substantial	substantial	*3*
K	Income, Fees & Charges	10	y	3	**16-CP 01 a,b,c&d**	**15-CR 02 4**		**Corp Priority**	**2015/16**	**substantial**		adequate	*2*
K	Main Accounting System	1	p	1	**16-CP 01 a,b,c&d**	**15-CR 02 4**		**2015/16 - reduced scope**	**2015/16**	**substantial**	substantial	substantial	*3*
K	NNDR	10	y	3	**16-CP 01 a,b,c&d; 16-CP 02 d**	**15-CR 02 6**	**KPI03 A**	**Corp Priority (2015/16 - reduced scope)**	**2015/16**	**substantial**	substantial	substantial	*3*
K	Payroll	10	y	3	**16-CP 01 a,b,c&d**	**15-CR 02 4**			**2015/16**	**tbc**	substantial		*3*
K	Recovery	10	y	3	**16-CP 01 a,b,c&d; 16-CP 02 d**	**15-CR 02 4**		**b/f from 2015/16**	2014/15		substantial	substantial	*3*
K	Taxation	1	p	1	**16-CP 01 a,b,c&d**	**15-CR 02 4**		**dependent on 2015/16 opinion**	**2015/16**	**tbc**	substantial	n/a	*2*
K	Treasury Management	5	y	3	**16-CP 01 a,b,c&d**	**15-CR 02 4**		**b/f from 2015/16 (service changes)**	2014/15		substantial	n/a	*1*
K	Value for Money	10	y	4	**16-CP 01 c**			**new audit - corp plan**					
	Building Control Service and Fees			1	**16-CP 03 b**				2014/15		substantial	substantial	***1***
	Business Continuity	10	y	4		**15-SR 01 6**		**b/f from 2015/16**	2012/13			adequate	*3*
	Car Parking Partnership (NEPP)	1	p	3	**16-CP 01 c; 16-CP 04 e**	**15-CR 05 6**		**dependent on 2015/16 opinion**	**2015/16**	**tbc**	adequate	adequate	***3***
	Communication			1	**16-CP 02 a**	**15-CR 06 6**			**2015/16**	**substantial**			***3***
	Community Safety			2	**16-CP 02 c&e**				2014/15		substantial	substantial	***1***
	Conservation & Trees	10	y	3	**16-CP 03 e**	**15-CR 17 6**		**staff / service changes**	2012/13			substantial	*1*
	Corporate Governance & AGS	5	y	4	**16-CP 02 f**	**15-CR 07 3; 15-CR 12 16**		**AGS only?**	**2015/16**	**n/a**	substantial	n/a	***3***
	Customer Service Centre	10	y	3	**16-CP 02 d&f**				2012/13			adequate	*3*
	Democratic Services			1				**new audit**					
	Economic Development Service	0	p	3	**16-CP 04 b**	**15-CR 09 3**			2014/15		substantial		***1***
	Elections	10	y	3	**16-CP 02 f**			**b/f from 2015/16**	2008/09			adequate	***3***
	Electoral Registration	10	y	3	**16-CP 02 f**			**b/f from 2015/16**	2013/14			substantial	*3*
	Emergency Planning			2		**15-SR 02 2**			**2015/16**	**tbc**		adequate	*2*
	Energy Efficiency			2	**16-CP 03 d**	**15-CR 15 9**			2012/13			adequate	*2*
	Enforcement	10	y	2	**16-CP 03 e**	**15-CR 16 4**			2012/13			adequate	*2*
	Facilities Management	10	y	3	**16-CP 02 e**				2013/14			adequate	*2*
	Grants & Awards (made)	10	y	4	**16-CP 01 c**			**staff / service changes**	2012/13			adequate	*3*
	Grants & External Funding received	10	y	4	**16-CP 01 b&d**	**15-CR 15 9**		**new audit**					*2*
	Housing & Health - Allocations			3	**16-CP 01 a; 16-CP 02 d**				**2015/16**	**tbc**		substantial	*3*
	Housing & Health - Community Health	5	y	3	**16-CP 02 a; c&e**			**CMT request**	**2015/16**	**substantial**			***2***
	Housing & Health - Anti-Social Behaviour			2	**16-CP 02 c&e**				2014/15		substantial	substantial	***1***
	Housing & Health - Safeguarding												
	Housing & Health - Disabled Facilities Grants			1	**16-CP 01 c**				2014/15		substantial	substantial	***1***
	Housing & Health - Environmental Health - Imported Food Controls			1	**16-CP 02 d**				**2015/16**	**adequate**			*3*
	Housing & Health - Environmental Health - various tbc	10	y	3	**16-CP 02 d**				2013/14			adequate	*1*
	Housing & Health - Equality & Diversity incl Access to Services	10	y	4	**16-CP 02 e**				2012/13			limited	***4***
	Housing & Health - Health & Safety	0	p	3	**16-CP 02 c&e**		**KPI09 R**		2014/15		adequate	adequate	***2***
	Housing & Health - Homelessness			3	**16-CP 01 a; 16-CP 02 d**				**2015/16**	**tbc**		adequate	*3*
	Housing & Health - Rent Deposit Scheme			2	**16-CP 01 a&c; 16-CP 02 d**				2014/15		substantial	limited	***1***
	Housing & Health - Repairs Service			2	**16-CP 01 a&c; 16-CP 02 d**	**15-CR 02 6**			**2015/16**	**adequate**		adequate	***4***
	Housing & Health - Right to Buy			2	**16-CP 01 a&c; 16-CP 02 d**				**2015/16**	**adequate**	substantial	limited	***2***
	Housing & Health - Services for Older People	10	y	3	**16-CP 01 a&c; 16-CP 02 d**				2014/15		substantial	adequate	***2***
	Housing & Health - Stock & Voids	5	y	3	**16-CP 01 a&c; 16-CP 02 d**	**15-CR 02 6**	**KPI08 R**	**voids turnaround**	**2015/16**	**substantial**		substantial	*3*
	Housing & Health - Stores			2	**16-CP 01 c**				**2015/16**	**adequate**			***4***
	HR	10	y	3	**16-CP 01 a&d;**		**KPI07a G; KPI07b R**	**employee sickness management**	2014/15		adequate	substantial	***3***
	ICT			3	**16-CP 01 a,c&d;**	**15-CR 06 6**			**2015/16**	**tbc**		substantial	*3*
	Information Management & Security			2	**16-CP 02 f**	**15-CR 07 3**			**2015/16**	**adequate**		adequate	*3*
	Insurance	10	y	4	**16-CP 01 a&c;**				2012/13			adequate	*1*
	Legal Services	10	y	3				**staff / service changes**	2012/13			substantial	*1*
	Leisure - Day Centres	0	p	3				**new audit**					
	Leisure - PFI	0	p	3	**16-CP 01 c; 16-CP 02 c&e**				2014/15		substantial	substantial	***3***
	Licensing	1	p	3	**16-CP 01 a&c; 16-CP 02 d**			**dependent on 2015/16 opinion**	**2015/16**	**tbc**		adequate	*3*
	Local Land Charges	10	y	3	**16-CP 01 a&c; 16-CP 02 d**			**staff / service changes**	2013/14			adequate	*2*
	Mailroom & Reprographics	10	y	3	**16-CP 01 b**				2012/13			adequate	*2*
	Members' Allowances & Expenses	10	y	4	**16-CP 01 a; 16-CP 02 f**			**b/f from 2015/16**	2010/11			adequate	***3***
	Museum	0	p	3				**new storage facility**	2012/13			adequate	*3*
	Partnerships	10	y	4	**16-CP 02 a; 16-CP 04 b**	**15-CR 03 6; 15-CR 11 9**		**b/f from 2015/16**	2013/14			substantial	*3*
	Performance Management	0	p	3	**16-CP 01 a&c; 16-CP 02 f**				2013/14			adequate	*1*
	Planning - Development Management, Support & Advice			2	**16-CP 03 a,b&d**		**KPI11 G;KPI12 A;KPI13 G**		2014/15		substantial	adequate	***1***
	Planning - Housing Strategy	0	p	4	**16-CP 02 a; 16-CP 03 a**	**15-CR 04 6; 15-CR 19 6**			2011/12			adequate	***1***
	Planning - Local Plan	0	p	3				**new audit**					
	Risk Management			2	**16-CP 01 a&c; 16-CP 02 f**				**2015/16**	**substantial**		substantial	*2*
	Section 106 Obligations			1	**16-CP 03 a,b&d**				**2015/16**	**substantial**		adequate	*2*
	Street Services - Fleet & Fuel Management and Transport Maintenance	2	p	3	**16-CP 01 a&c; 16-CP 02 d**			**dependent on 2015/16 opinion**	**2015/16**	**tbc**		adequate	*4*
	Street Services - Grounds Maintenance			2	**16-CP 01 a&c; 16-CP 02 d**				2014/15		adequate	adequate	***3***
	Street Services - Highway Ranger Services			2	**16-CP 02 c&d**				2014/15		adequate	n/a	***3***
	Street Services - Income Generating Services	10	y	4	**16-CP 01 a&c; 16-CP 02 d**				2012/13			n/a	***2***
	Street Services - Management & Admin			3	**16-CP 01 a&c; 16-CP 02 d**				2012/13			n/a	*2*
	Street Services - Street Cleaning			2	**16-CP 01 a&c; 16-CP 02 d**				2014/15		adequate	substantial	***3***
	Street Services - Trade Waste	2	p	3	**16-CP 01 a&c; 16-CP 02 d**			**dependent on 2015/16 opinion**	**2015/16**	**tbc**		adequate	***3***
	Street Services - Waste & Recycling	2	p	3	**16-CP 01 a&c; 16-CP 02 d**		**KPI 14 A; KPI 15 R**		2014/15		adequate	adequate	***2***
	Training			2					2014/15		adequate	n/a	***2***
		315											